

Cloudera Runtime 7.2.18

## Managing Apache Impala

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# CLOUDERA

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## READ Support for FULL ACID ORC Tables

FULL ACID v2 transactional tables are readable in Impala without modifying any configurations. You must have Cloudera Runtime 7.2.2 or higher and have connection to Hive Metastore server in order to READ from FULL ACID tables.

There are two types of transactional tables available with Hive ACID.

- INSERT-ONLY
- FULL ACID

Until this release, Impala in CDP supported INSERT-ONLY transactional tables allowing both READ and WRITE operations. The latest version of Impala in CDP now also supports READ of FULL ACID ORC tables.

By default tables created in Impala are INSERT-ONLY managed tables whereas the default tables in Hive are managed tables that are FULL-ACID and INSERT-ONLY.

### Limitations

- Impala cannot CREATE or WRITE to FULL ACID transactional tables yet. You can CREATE and WRITE FULL ACID transactional tables with transaction scope at the row level via HIVE and use Impala to READ these tables.
- Impala does not support ACID v1.

## Concepts Used in FULL ACID v2 Tables

Before beginning to use FULL ACID v2 tables you must be aware of these new concepts like transactions, WriteIds, rowIDs, delta delete directories, locks, etc. that are added to FULL ACID tables to achieve ACID semantics.

### Write IDs

For every transaction, both read and write, Hive will assign a globally unique ID. For transactional writes like INSERT and DELETE, it will also assign a table-wise unique ID, a write ID. The write ID range will be encoded in the delta and delete directory names. Results of a DML transactional query are allocated to a location under partition/table. This location is derived by Write ID allocated to the transaction. This provides Isolation of DML queries and such queries can run in parallel without interfering with each other.

### New Sub-directories

New data files resulting from a DML query are written to a unique location derived from WriteId of the transaction. You can find the results of an INSERT query in delta directories under partition/table location. Depending on the operation type there can be two types of delta directories:

- Delta Directory: This type is created for the results of INSERT statements and is named `delta_<writeId>_<writeId>` under partition/table location.
- Delete Delta Directory: This delta directory is created for results of DELETE statements and is named `delete_delta_<writeId>_<writeId>` under partition/table location.

UPDATE operations create both delete and delta directories.

### Row IDs

rowId is the auto-generated unique ID within the transaction and bucket. This is added to each row to identify each row in a table. RowID is used during a DELETE operation. When a record is deleted from a table, the rowId of the deleted row will be written to the delete\_delta directory. So for all subsequent READ operations all rows will be read except these rows.

## Schematic differences between INSERT-ONLY and FULL ACID tables

INSERT-ONLY tables do not have a special schema. They store the data just like plain original files from the non-ACID world. However, their files are organized differently. For every INSERT statement the created files are put into a transactional directory which has transactional information in its name.

Full ACID tables do have a special schema. They have row identifiers to support row-level DELETES. So a row in Full ACID format looks like this:

```
{
  "operation": 0,
  "originalTransaction": 1,
  "bucket": 536870912,
  "rowId": 0,
  "currentTransaction": 1,
  "row": {"i": 1}
}
```

- The green columns are the hidden/system ACID columns.
- Field “row” holds the user data.
- operation 0 means INSERT, 1 UPDATE, and 2 DELETE. UPDATE will not appear because of the split-update technique (INSERT + DELETE).
- originalTransaction is the write ID of the INSERT operation that created this row.
- bucket is a 32-bit integer defined by BucketCodec class.
- rowId is the auto-generated unique ID within the transaction and bucket.
- currentTransaction is the current write ID. For INSERT, it is the same as currentTransaction. For DELETE, it is the write ID when this record is first created.
- row contains the actual data. For DELETE, row will be null.

## Key Differences between INSERT-ONLY and FULL ACID Tables

Before beginning to use FULL ACID v2 tables you must be aware of the key differences between the INSERT-ONLY and FULL-ACID tables.

This table highlights some of the differences between the INSERT-ONLY and FULL ACID tables.

	INSERT-ONLY	FULL ACID
Schema	There is no special data schema. They store the data just like plain original files from the non-ACID world.	Data is in special format, i.e. there are synthetic columns with transactional information in addition to actual data.
Transactional information	Transactional information is encoded in directory names.	Full ACID tables also use the same directory structure as INSERT-only tables. Transactional information is encoded in the directory names. Directory name and filename are the source of transactional information.
Table properties	'transactional'='true', 'transactional_properties'='insert_only'	'transactional'='true'
Supported operations	INSERT-ONLY tables only support insertion of data. UPDATES and DELETES are not supported. These tables also provide CREATE TABLE, DROP TABLE, TRUNCATE, INSERT, SELECT operations.	FULL ACID ORC tables can be READ using IMPALA. These tables also provide UPDATE and DELETE operations at the row level using HIVE. This is achieved using transactions like Insert-Only Tables along with changes in ORC Reader to support deletes.
WRITE operation	WRITE operations are atomic and the results of the insert operation are not visible to other query operations until the operation is committed.	WRITE operations are atomic - The operation either succeeds completely or fails; it does not result in partial data.

	INSERT-ONLY	FULL ACID
INSERT operation	For every INSERT statement the created files are added to a transactional directory which has transactional information in its name.	INSERT operation is done through HIVE and this statement is executed in a single transaction. This operation creates a delta directory containing information about this transaction and its data.
DELETE operation	N/A	DELETE operation is done through HIVE and this event creates a special “delete delta” directory.
UPDATE operation	N/A	UPDATE operation is done through HIVE. This operation is split into an INSERT and DELETE operation. This operation creates a delta dir followed by a delete dir.
READ operation	READ operations always read a consistent snapshot of the data.	READ operations always read a consistent snapshot of the data.
Supported file format	Supports any file formats.	Supports only ORC.
Compactions	Minor and major compactions are supported.	Minor compactions can be created, which means several delta and delete directories can be compacted into one delta and delete directory. Major compactions are also supported.



**Note:** Currently, ALTER TABLE statement is not supported on both insert-only and full acid transactional tables.

### File structure of FULL ACID transactional table

Hive 3 achieves atomicity and isolation of operations on transactional tables by using techniques in write, read, insert, create, delete, and update operations that involve delta files, which can provide query status information and help you troubleshoot query problems.

## Compaction of Data in FULL ACID Transactional Table

As administrator, you need to manage compaction of delta files that accumulate during data ingestion. Compaction is a process that performs critical cleanup of files.

Hive creates a set of delta files for each transaction that alters a table or partition and stores them in a separate delta directory. When the number of delta and delete directories in the table grow, the read performance will be impacted, since reading is a process of merging the results of valid transactions. To avoid any compromise on the read performance, occasionally Hive performs compaction, namely minor and major. This process merges these directories while preserving the transaction information.

To initiate automatic compaction, you must enable it using Cloudera Manager. For more information on managing the compaction process, see the link provided under Related Information.

### Related Information

[Data Compaction](#)

## Managing Resources in Impala

Impala includes the features that balance and maximize resources to improve query performance and scalability of your Cloudera cluster.

A typical deployment uses the following resource management features:

- Static service pools

Use the static service pools to allocate dedicated resources for Impala to manage and prioritize workloads on clusters.

- Admission control

Within the constraints of the static service pool, you can further subdivide Impala's resources using dynamic resource pools and admission control.

### Related Information

[Creating Static Pools](#)

[Dynamic Resource Pool Settings](#)

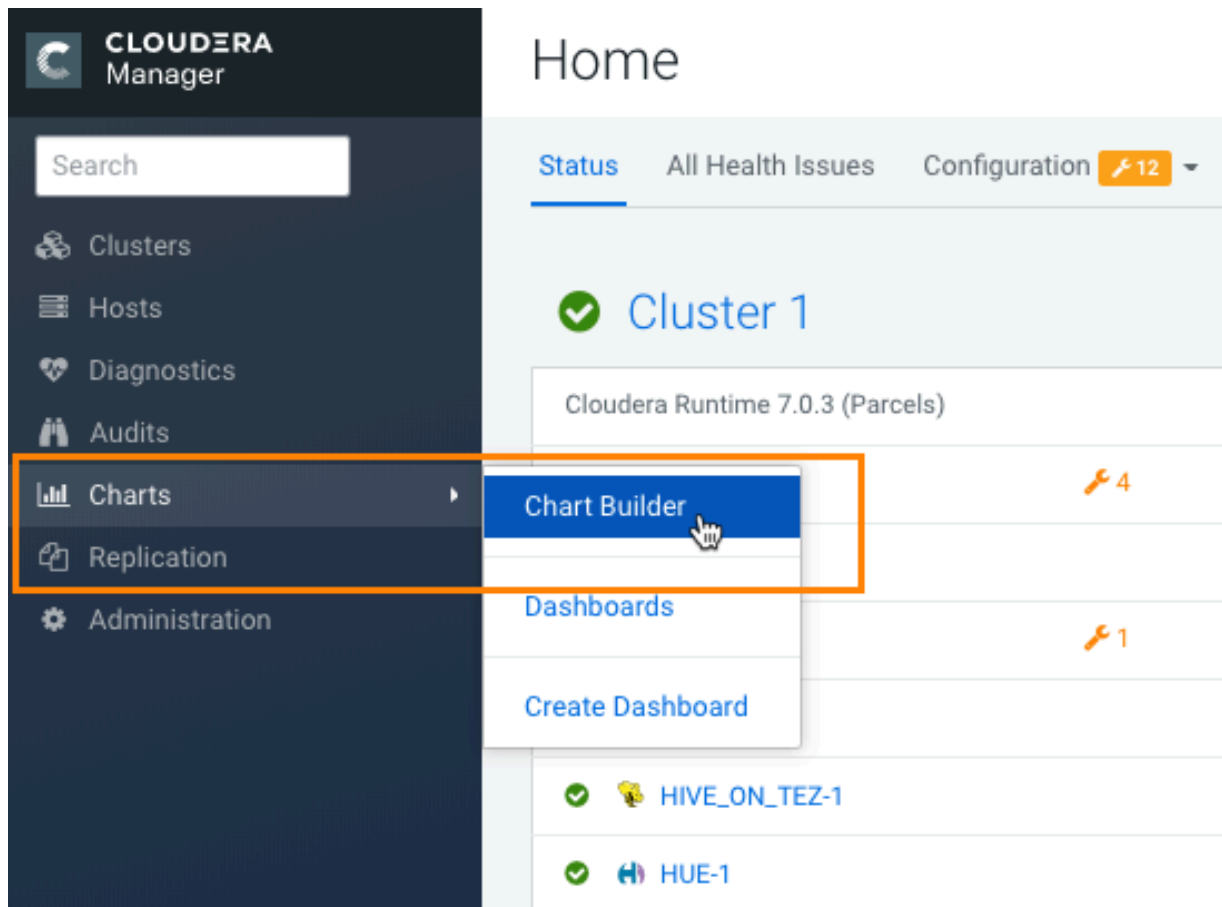
## Estimating memory limits

Use Cloudera Manager Chart Builder to determine memory limits for resource pools.

To identify what is the appropriate minimum, maximum, and default memory limit for each of the pools, Cloudera recommends that you run real queries on your datasets, and then review the memory usage during peak periods of this test.

After you have run a set of your real queries on your datasets, use Cloudera Manager Chart Builder to view charts that reflect memory usage:

1. On the Cloudera Manager Admin Console home page, from the left navigation tree, select **Charts** **Chart Builder** :



2. On the Chart Builder page, enter the following query, and then click Build Chart:

```
select memory_per_node_peak from IMPALA_QUERIES where
```

```
service_name="impala"
```

Chart Builder then returns a chart that might look similar to the following example:

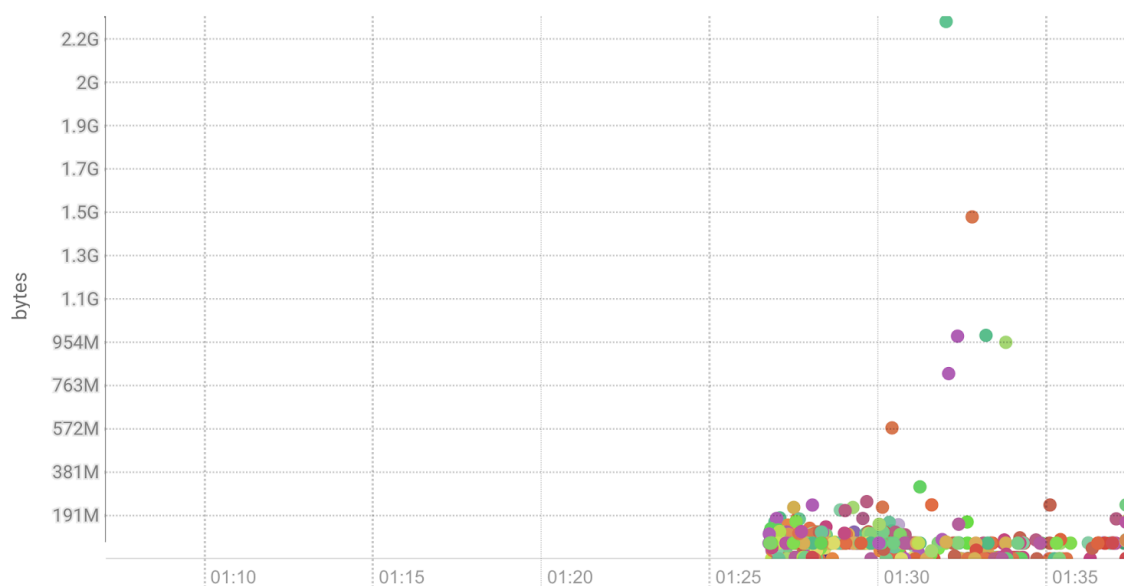
### Per Node Peak Memory Usage

Query

```
select memory_per_node_peak from IMPALA_QUERIES where service_name = "impala"
```

Data Granularity

Auto



This type of chart can be used to determine the memory limits for a particular pool. Note that most queries use less than 1.2 GB per node. See [Admission Control and Query Queuing](#) for more information about Impala admission control.

## Admission Control and Query Queuing

Admission control is an Impala feature that imposes limits on concurrent SQL queries to avoid resource usage spikes and out-of-memory conditions on busy Cloudera clusters.

The admission control feature lets you set an upper limit on the number of concurrent Impala queries and on the memory used by those queries. Any additional queries are queued until the earlier ones finish, rather than being cancelled or running slowly and causing contention. As other queries finish, the queued queries are allowed to proceed.

You can specify these limits and thresholds for each pool or globally so that you can balance the resource usage and throughput among steady well-defined workloads, rare resource-intensive queries, and ad-hoc exploratory queries.

In addition to the threshold values for currently executing queries, you can place limits on the maximum number of queries that are queued (waiting) and a limit on the amount of time they might wait before returning with an error. These queue settings let you ensure that queries do not wait indefinitely so that you can detect and correct “starvation” scenarios.



Queries, DML statements, and some DDL statements, including CREATE TABLE AS SELECT and COMPUTE STATS are affected by admission control.

On a busy Cloudera cluster, you might find there is an optimal number of Impala queries that run concurrently. For example, when the I/O capacity is fully utilized by I/O-intensive queries, you might not find any throughput benefit in running more concurrent queries. By allowing some queries to run at full speed while others wait, rather than having all queries contend for resources and run slowly, admission control can result in higher overall throughput.

For another example, consider a memory-bound workload such as many large joins or aggregation queries. Each such query could briefly use many gigabytes of memory to process intermediate results. Because Impala by default cancels queries that exceed the specified memory limit, running multiple large-scale queries at once might require re-running some queries that are cancelled. In this case, admission control improves the reliability and stability of the overall workload by only allowing as many concurrent queries as the overall memory of the cluster can accommodate.

## Concurrent Queries and Admission Control

One way to limit resource usage through admission control is to set an upper limit on the number of concurrent queries. This is the initial technique you might use when you do not have extensive information about memory usage for your workload. The settings can be specified separately for each dynamic resource pool.

### Max Running Queries

Maximum number of concurrently running queries in this pool. The default value is unlimited. (optional)

The maximum number of queries that can run concurrently in this pool. The default value is unlimited. Any queries for this pool that exceed Max Running Queries are added to the admission control queue until other queries finish. You can use Max Running Queries in the early stages of resource management, when you do not have extensive data about query memory usage, to determine if the cluster performs better overall if throttling is applied to Impala queries.

For a workload with many small queries, you typically specify a high value for this setting, or leave the default setting of “unlimited”. For a workload with expensive queries, where some number of concurrent queries saturate the memory, I/O, CPU, or network capacity of the cluster, set the value low enough that the cluster resources are not overcommitted for Impala.

Once you have enabled memory-based admission control using other pool settings, you can still use Max Running Queries as a safeguard. If queries exceed either the total estimated memory or the maximum number of concurrent queries, they are added to the queue.

If Max Running Queries Multiple is set, the Max Running Queries setting is ignored.

### Max Running Queries Multiple

This floating point number is multiplied by the current total number of executors at runtime to give the maximum number of concurrently running queries allowed in the pool. The effect of this setting scales with the number of executors in the resource pool.

This calculation is rounded up to the nearest integer, so the result will always be at least one.

If set to zero or a negative number, the setting is ignored.

### Max Queued Queries

Maximum number of queries that can be queued in this pool. The default value is 200. (optional).

If Max Queued Queries Multiple is set, the Max Queued Queries setting is ignored.

### Max Queued Queries Multiple

This floating point number is multiplied by the current total number of executors at runtime to give the maximum number of queries that can be queued in the pool. The effect of this setting scales with the number of executors in the resource pool.

This calculation is rounded up to the nearest integer, so the result will always be at least one.

If set to zero or a negative number, the setting is ignored.

## Queue Timeout

The amount of time, in milliseconds, that a query waits in the admission control queue for this pool before being canceled. The default value is 60,000 milliseconds.

In the following cases, Queue Timeout is not significant, and you can specify a high value to avoid canceling queries unexpectedly:

- In a low-concurrency workload where few or no queries are queued
- In an environment without a strict SLA, where it does not matter if queries occasionally take longer than usual because they are held in admission control

You might also need to increase the value to use Impala with some business intelligence tools that have their own timeout intervals for queries.

In a high-concurrency workload, especially for queries with a tight SLA, long wait times in admission control can cause a serious problem. For example, if a query needs to run in 10 seconds, and you have tuned it so that it runs in 8 seconds, it violates its SLA if it waits in the admission control queue longer than 2 seconds. In a case like this, set a low timeout value and monitor how many queries are cancelled because of timeouts. This technique helps you to discover capacity, tuning, and scaling problems early, and helps avoid wasting resources by running expensive queries that have already missed their SLA.

If you identify some queries that can have a high timeout value, and others that benefit from a low timeout value, you can create separate pools with different values for this setting.

You can combine these settings with the memory-based approach described below. If either the maximum number of or the expected memory usage of the concurrent queries is exceeded, subsequent queries are queued until the concurrent workload falls below the threshold again.

## Memory Limits and Admission Control

Each dynamic resource pool can have an upper limit on the cluster-wide memory used by queries executing in that pool.

Use the following settings to manage memory-based admission control.

### Max Memory

The maximum amount of aggregate memory available across the cluster to all queries executing in this pool. This should be a portion of the aggregate configured memory for Impala daemons, which will be shown in the settings dialog next to this option for convenience. Setting this to a non-zero value enables memory based admission control.

Impala determines the expected maximum memory used by all queries in the pool and holds back any further queries that would result in Max Memory being exceeded.

If you specify Max Memory, you should specify the amount of memory to allocate to each query in this pool. You can do this in two ways:

- By setting Maximum Query Memory Limit and Minimum Query Memory Limit. This is preferred as it gives Impala flexibility to set aside more memory to queries that are expected to be memory-hungry.
- By setting Default Query Memory Limit to the exact amount of memory that Impala should set aside for queries in that pool.

Note that in the following cases, Impala will rely entirely on memory estimates to determine how much memory to set aside for each query. This is not recommended because it can result in queries not running or being starved for memory if the estimates are inaccurate. And it can affect other queries running on the same node.

- Max Memory, Maximum Query Memory Limit, and Minimum Query Memory Limit are not set, and the MEM\_LIMIT query option is not set for the query.

- Default Query Memory Limit is set to 0, and the MEM\_LIMIT query option is not set for the query.

If Max Memory Multiple is set, the Max Memory setting is ignored.

### **Max Memory Multiple**

This number of bytes is multiplied by the current total number of executors at runtime to give the maximum memory available across the cluster for the pool. The effect of this setting scales with the number of executors in the resource pool.

If set to zero or a negative number, the setting is ignored.

### **Minimum Query Memory Limit and Maximum Query Memory Limit**

These two options determine the minimum and maximum per-host memory limit that will be chosen by Impala Admission control for queries in this resource pool. If set, Impala admission control will choose a memory limit between the minimum and maximum values based on the per-host memory estimate for the query. The memory limit chosen determines the amount of memory that Impala admission control will set aside for this query on each host that the query is running on. The aggregate memory across all of the hosts that the query is running on is counted against the pool's Max Memory.

Minimum Query Memory Limit must be less than or equal to Maximum Query Memory Limit and Max Memory.

A user can override Impala's choice of memory limit by setting the MEM\_LIMIT query option. If the Clamp MEM\_LIMIT Query Option setting is set to TRUE and the user sets MEM\_LIMIT to a value that is outside of the range specified by these two options, then the effective memory limit will be either the minimum or maximum, depending on whether MEM\_LIMIT is lower than or higher the range.

For example, assume a resource pool with the following parameters set:

- Minimum Query Memory Limit = 2GB
- Maximum Query Memory Limit = 10GB

If a user tries to submit a query with the MEM\_LIMIT query option set to 14 GB, the following would happen:

- If Clamp MEM\_LIMIT Query Option = true, admission controller would override MEM\_LIMIT with 10 GB and attempt admission using that value.
- If Clamp MEM\_LIMIT Query Option = false, the admission controller will retain the MEM\_LIMIT of 14 GB set by the user and will attempt admission using the value.

### **Clamp MEM\_LIMIT Query Option**

If this field is not selected, the MEM\_LIMIT query option will not be bounded by the Maximum Query Memory Limit and the Minimum Query Memory Limit values specified for this resource pool. By default, this field is selected. The field is disabled if both Minimum Query Memory Limit and Maximum Query Memory Limit are not set.

You can combine the memory-based settings with the upper limit on concurrent queries. If either the maximum number of or the expected memory usage of the concurrent queries is exceeded, subsequent queries are queued until the concurrent workload falls below the threshold again.

## **Monitoring Admission Control**

To see how admission control works for particular queries, examine the profile output or the summary output for the query.

### **Profile**

The information is available through the `PROFILE` statement in `impala-shell` immediately after running a query in the shell, on the queries page of the Impala debug web UI, or in the Impala log file (basic information at log level 1, more detailed information at log level 2).

The profile output contains details about the admission decision, such as whether the query was queued or not and which resource pool it was assigned to. It also includes the estimated and actual memory usage for the query, so you can fine-tune the configuration for the memory limits of the resource pools.

### Summary

The summary output includes the queuing status consisting of whether the query was queued and what was the latest queuing reason.

The information is available in `impala-shell` when the `LIVE_PROGRESS` or `LIVE_SUMMARY` query option is set to `TRUE`.

You can also start an `impala-shell` session with the `--live_progress` or `--live_summary` flags to monitor all queries in that `impala-shell` session.

## Enabling Admission Control

Enable admission control on all production clusters to alleviate possible capacity issues. The capacity issues could be because of a high volume of concurrent queries, because of heavy-duty join and aggregation queries that require large amounts of memory, or because Impala is being used alongside other Hadoop data management components and the resource usage of Impala must be constrained to work well.

### About this task

#### Procedure

1. Navigate to ClustersImpala.
2. In the Configuration tab, navigate to CategoryAdmission Control.
3. Select or clear both the Enable Impala Admission Control and the Enable Dynamic Resource Pools.
4. Enter a Reason for change, and then click Save Changes to commit the changes.
5. Restart the Impala service.
6. After completing this task, for further configuration settings, customize the configuration settings for the dynamic resource pools.

## Creating Static Pools

To manage and prioritize workloads on clusters, use the static service pools to allocate dedicated resources for Impala for predictable resource availability. When static service pools are used, Cloudera Manager creates a cgroup in which Impala runs. This cgroup limits memory, CPU and Disk I/O according to the static partitioning policy.

### About this task

Create static resource pools for the services running in your Cloudera cluster.

#### Procedure

1. In Cloudera Manager, navigate to Clusters Static service pools .

2. In the Configuration tab, allocate a portion of resource to each component.
  - HDFS always needs to have a minimum of 5-10% of the resources.
  - Generally, YARN and Impala split the rest of the resources.
    - For mostly batch workloads, you might allocate YARN 60%, Impala 30%, and HDFS 10%.
    - For mostly ad-hoc query workloads, you might allocate Impala 60%, YARN 30%, and HDFS 10%.
3. Click Continue.
4. Review the changes and click Continue.
5. Click Restart Now.

## Configuring Dynamic Resource Pool

Admission control and dynamic resource pools are enabled by default. However, until you configure the settings for the dynamic resource pools, the admission control feature is effectively not enabled.

### About this task

There is always a resource pool designated as `root.default`. By default, all Impala queries run in this pool when the dynamic resource pool feature is enabled for Impala. You create additional pools when your workload includes identifiable groups of queries (such as from a particular application, or a particular group within your organization) that have their own requirements for concurrency, memory use, or service level agreement (SLA). Each pool has its own settings related to memory, number of queries, and timeout interval.

### Procedure

1. In Cloudera Manager, navigate to `Clusters Impala Admission Control Configuration`. If the cluster has an Impala service, the `Resource Pools` tab displays under the Impala Admission Control tab.
2. In the Impala Admission Control tab, click `Create Resource Pool`.
3. Specify a name and resource limits for the pool:
  - In the `Resource Pool Name` field, type a unique name containing only alphanumeric characters.
  - Optionally, in the `Submission Access Control` tab, specify which users and groups can submit queries. By default, anyone can submit queries. To restrict this permission, select the `Allow these users and groups` option and provide a comma-delimited list of users and groups in the `Users` and `Groups` fields respectively.
4. Click `Create`.
5. Click `Refresh Dynamic Resource Pools`.

## Dynamic Resource Pool Settings

Use the following settings to configure your dynamic resource pools for Impala.

### Max Memory

Maximum amount of aggregate memory available across the cluster to all queries executing in this pool. This should be a portion of the aggregate configured memory for Impala daemons, which will be shown in the settings dialog next to this option for convenience. Setting this to a non-zero value enables memory based admission control.

Impala determines the expected maximum memory used by all queries in the pool and holds back any further queries that would result in Max Memory being exceeded.

If you specify Max Memory, you should specify the amount of memory to allocate to each query in this pool. You can do this in two ways:

- By setting `Maximum Query Memory Limit` and `Minimum Query Memory Limit`. Setting them gives Impala flexibility to set aside more memory to queries that are expected to be memory-hungry.

- By setting Default Query Memory Limit to the exact amount of memory that Impala should set aside for queries in that pool.

Note that if you do not set any of the above options, or set Default Query Memory Limit to 0, Impala will rely entirely on memory estimates to determine how much memory to set aside for each query. This is not recommended because it can result in queries not running or being starved for memory if the estimates are inaccurate.

For example, consider the following scenario:

- The cluster is running impalad daemons on five hosts.
- A dynamic resource pool has Max Memory set to 100 GB.
- The Maximum Query Memory Limit for the pool is 10 GB and Minimum Query Memory Limit is 2 GB. Therefore, any query running in this pool could use up to 50 GB of memory (Maximum Query Memory Limit \* number of Impala nodes).
- Impala will run varying numbers of queries concurrently because queries may be given memory limits anywhere between 2 GB and 10 GB, depending on the estimated memory requirements. For example, Impala may run up to 10 small queries with 2 GB memory limits or two large queries with 10 GB memory limits because that is what will fit in the 100 GB cluster-wide limit when executing on five hosts.
- The executing queries may use less memory than the per-host memory limit or the Max Memory cluster-wide limit if they do not need that much memory. In general this is not a problem so long as you are able to run enough queries concurrently to meet your needs.

### Minimum Query Memory Limit and Maximum Query Memory Limit

These two options determine the minimum and maximum per-host memory limit that will be chosen by Impala Admission control for queries in this resource pool. If set, Impala admission control will choose a memory limit between the minimum and maximum value based on the per-host memory estimate for the query. The memory limit chosen determines the amount of memory that Impala admission control will set aside for this query on each host that the query is running on. The aggregate memory across all of the hosts that the query is running on is counted against the pool's Max Memory.

Minimum Query Memory Limit must be less than or equal to Maximum Query Memory Limit and Max Memory.

You can override Impala's choice of memory limit by setting the MEM\_LIMIT query option. If the Clamp MEM\_LIMIT Query Option is selected and the user sets MEM\_LIMIT to a value that is outside of the range specified by these two options, then the effective memory limit will be either the minimum or maximum, depending on whether MEM\_LIMIT is lower than or higher than the range.

### Max Running Queries

Maximum number of concurrently running queries in this pool. The default value is unlimited.

The maximum number of queries that can run concurrently in this pool. The default value is unlimited. Any queries for this pool that exceed Max Running Queries are added to the admission control queue until other queries finish. You can use Max Running Queries in the early stages of resource management, when you do not have extensive data about query memory usage, to determine if the cluster performs better overall if throttling is applied to Impala queries.

For a workload with many small queries, you typically specify a high value for this setting, or leave the default setting of "unlimited". For a workload with expensive queries, where some number of concurrent queries saturate the memory, I/O, CPU, or network capacity of the cluster, set the value low enough that the cluster resources are not overcommitted for Impala.

Once you have enabled memory-based admission control using other pool settings, you can still use Max Running Queries as a safeguard. If queries exceed either the total estimated memory or the maximum number of concurrent queries, they are added to the queue.

### Max Queued Queries

Maximum number of queries that can be queued in this pool. The default value is 200. (optional)

### Queue Timeout

The amount of time, in milliseconds, that a query waits in the admission control queue for this pool before being canceled. The default value is 60,000 milliseconds.

In the following cases, Queue Timeout is not significant, and you can specify a high value to avoid canceling queries unexpectedly:

- In a low-concurrency workload where few or no queries are queued
- In an environment without a strict SLA, where it does not matter if queries occasionally take longer than usual because they are held in admission control

You might also need to increase the value to use Impala with some business intelligence tools that have their own timeout intervals for queries.

In a high-concurrency workload, especially for queries with a tight SLA, long wait times in admission control can cause a serious problem. For example, if a query needs to run in 10 seconds, and you have tuned it so that it runs in 8 seconds, it violates its SLA if it waits in the admission control queue longer than 2 seconds. In a case like this, set a low timeout value and monitor how many queries are cancelled because of timeouts. This technique helps you to discover capacity, tuning, and scaling problems early, and helps avoid wasting resources by running expensive queries that have already missed their SLA.

If you identify some queries that can have a high timeout value, and others that benefit from a low timeout value, you can create separate pools with different values for this setting.

### Clamp MEM\_LIMIT Query Option

If this field is not selected, the MEM\_LIMIT query option will not be bounded by the Maximum Query Memory Limit and the Minimum Query Memory Limit values specified for this resource pool. By default, this field is selected. The field is disabled if both Minimum Query Memory Limit and Maximum Query Memory Limit are not set.

## Admission Control Sample Scenario

You can learn about the factors you must consider when allocating Impala's resources and the process you need to follow to set up admission control for the selected workload.

Anne Chang is administrator for an enterprise data hub that runs a number of workloads, including Impala.

Anne has a 20-node cluster that uses Cloudera Manager static partitioning. Because of the heavy Impala workload, Anne needs to make sure Impala gets enough resources. While the best configuration values might not be known in advance, she decides to start by allocating 50% of resources to Impala. Each node has 128 GiB dedicated to each impalad. Impala has 2560 GiB in aggregate that can be shared across the resource pools she creates.

Next, Anne studies the workload in more detail. After some research, she might choose to revisit these initial values for static partitioning.

To figure out how to further allocate Impala's resources, Anne needs to consider the workloads and users, and determine their requirements. There are a few main sources of Impala queries:

- Large reporting queries executed by an external process/tool. These are critical business intelligence queries that are important for business decisions. It is important that they get the resources they need to run. There typically are not many of these queries at a given time.
- Frequent, small queries generated by a web UI. These queries scan a limited amount of data and do not require expensive joins or aggregations. These queries are important, but not as critical, perhaps the client tries resending the query or the end user refreshes the page.
- Occasionally, expert users might run ad-hoc queries. The queries can vary significantly in their resource requirements. While Anne wants a good experience for these users, it is hard to control what they do (for example,



submitting inefficient or incorrect queries by mistake). Anne restricts these queries by default and tells users to reach out to her if they need more resources.

To set up admission control for this workload, Anne first runs the workloads independently, so that she can observe the workload's resource usage in Cloudera Manager. If they could not easily be run manually, but had been run in the past, Anne uses the history information from Cloudera Manager. It can be helpful to use other search criteria (for example, user) to isolate queries by workload. Anne uses the Cloudera Manager chart for Per-Node Peak Memory usage to identify the maximum memory requirements for the queries.

From this data, Anne observes the following about the queries in the groups above:

- Large reporting queries use up to 32 GiB per node. There are typically 1 or 2 queries running at a time. On one occasion, she observed that 3 of these queries were running concurrently. Queries can take 3 minutes to complete.
- Web UI-generated queries use between 100 MiB per node to usually less than 4 GiB per node of memory, but occasionally as much as 10 GiB per node. Queries take, on average, 5 seconds, and there can be as many as 140 incoming queries per minute.
- Anne has little data on ad hoc queries, but some are trivial (approximately 100 MiB per node), others join several tables (requiring a few GiB per node), and one user submitted a huge cross join of all tables that used all system resources (that was likely a mistake).

Based on these observations, Anne creates the admission control configuration with the following pools:

### XL\_Reporting

Property	Value
Max Memory	1280 GiB
Maximum Query Memory Limit	32 GiB
Minimum Query Memory Limit	32 GiB
Max Running Queries	2
Queue Timeout	5 minutes

This pool is for large reporting queries. To support running 2 queries at a time, the pool memory resources are set to 1280 GiB (aggregate cluster memory). This is for 2 queries, each with 32 GiB per node, across 20 nodes. Anne sets the pool's Maximum Query Memory Limit to 32 GiB so that no query uses more than 32 GiB on any given node. She sets Max Running Queries to 2 (though it is not necessary she do so). She increases the pool's queue timeout to 5 minutes in case a third query comes in and has to wait. She does not expect more than 3 concurrent queries, and she does not want them to wait that long anyway, so she does not increase the queue timeout. If the workload increases in the future, she might choose to adjust the configuration or buy more hardware.

### HighThroughput\_UI

Property	Value
Max Memory	960 GiB (inferred)
Maximum Query Memory Limit	4 GiB
Minimum Query Memory Limit	2 GiB
Max Running Queries	12
Queue Timeout	5 minutes

This pool is used for the small, high throughput queries generated by the web tool. Anne sets the Maximum Query Memory Limit to 4 GiB per node, and sets Max Running Queries to 12. This implies a maximum amount of memory per node used by the queries in this pool: 48 GiB per node (12 queries \* 4 GiB per node memory limit).

Notice that Anne does not set the pool memory resources, but does set the pool's Maximum Query Memory Limit. This is intentional: admission control processes queries faster when a pool uses the Max Running Queries limit instead of the peak memory resources.



This should be enough memory for most queries, since only a few go over 4 GiB per node. For those that do require more memory, they can probably still complete with less memory (spilling if necessary). If, on occasion, a query cannot run with this much memory and it fails, Anne might reconsider this configuration later, or perhaps she does not need to worry about a few rare failures from this web UI.

With regard to throughput, since these queries take around 5 seconds and she is allowing 12 concurrent queries, the pool should be able to handle approximately 144 queries per minute, which is enough for the peak maximum expected of 140 queries per minute. In case there is a large burst of queries, Anne wants them to queue. The default maximum size of the queue is already 200, which should be more than large enough. Anne does not need to change it.

### Default

Property	Value
Max Memory	320 GiB
Maximum Query Memory Limit	4 GiB
Minimum Query Memory Limit	2 GiB
Max Running Queries	Unlimited
Queue Timeout	60 Seconds

The default pool (which already exists) is a catch all for ad-hoc queries. Anne wants to use the remaining memory not used by the first two pools, 16 GiB per node (XL\_Reporting uses 64 GiB per node, High\_Throughput\_UI uses 48 GiB per node). For the other pools to get the resources they expect, she must still set the Max Memory resources and the Maximum Query Memory Limit. She sets the Max Memory resources to 320 GiB (16 \* 20). She sets the Maximum Query Memory Limit to 4 GiB per node for now. That is somewhat arbitrary, but satisfies some of the ad hoc queries she observed. If someone writes a bad query by mistake, she does not actually want it using all the system resources. If a user has a large query to submit, an expert user can override the Maximum Query Memory Limit (up to 16 GiB per node, since that is bound by the pool Max Memory resources). If that is still insufficient for this user's workload, the user should work with Anne to adjust the settings and perhaps create a dedicated pool for the workload.

## Cancelling a Query

Various client applications let you interactively cancel queries submitted or monitored through those applications.

### Setting a Time Limit on Query Execution

An Impala administrator can set a default value of the EXEC\_TIME\_LIMIT\_S query option for a resource pool. If a user accidentally runs a large query that executes for longer than the limit, it will be automatically terminated after the time limit expires to free up resources.

You can override the default value per query or per session if you do not want to apply the default EXEC\_TIME\_LIMIT\_S value to a specific query or a session.

### Interactively Cancelling a Query

- In the Impala Web UI for the `impalad` host (on port 25000 by default), cancel a query: In the `/queriesstab`, click Cancel for a query in the queries in flight list.
- In `impala-shell`, press `^C`
- In Hue, click Cancel from the Watch page.

You can manually cancel a query in the Impala Web UI for the `impalad` host (on port 25000 by default):

## Using HLL Datasketch Algorithms in Impala

You can use Datasketch algorithms (HLL) for queries that take too long to calculate exact results due to very large data sets (e.g. number of distinct values).

You may use data sketches (HLL algorithms) to generate approximate results that are much faster to retrieve. HLL is an algorithm that gives approximate answers for computing the number of distinct values in a column. The value returned by this algorithm is similar to the result of `COUNT(DISTINCT col)` and the `NDV` function integrated with Impala. However, HLL algorithm is much faster than `COUNT(DISTINCT col)` and the `NDV` function and is less memory-intensive for columns with high cardinality.

These HLL algorithms create “sketches”, which are data structures containing an approximate calculation of some facet of the data and which are compatible regardless of which system reads or writes them. For e.g., This particular algorithm works in two phases. First it creates a sketch from the dataset provided for the algorithm and then as the second step in the process you can read the estimate from the sketch. Using this approach it’s also possible to create granular sketches for a specific dataset (e.g. one sketch per partition) and later on use them to provide answers for different `count(distinct)` queries without reading the actual data as they can also be merged together. Using HLL you can create sketches by Hive and read it with Impala for getting the estimate and vice versa.

### Usage Notes

Query results produced by the HLL algorithm are approximate but within well defined error bounds. Because the number of distinct values in a column returned by this HLL algorithm is an estimate, it might not reflect the precise number of different values in the column, especially if the cardinality is very high.

### Data Types

The following is a list of currently supported data types of the datasets that these HLL functions are compatible with.

Supported:

1. Numeric Types:

TINYINT, INT, BIGINT, FLOAT, DOUBLE

2. String types:

STRING, CHAR, VARCHAR

3. Other types:

BINARY

Unsupported:

1. Complex types

2. Some of the scalar types: DECIMAL, TIMESTAMP, BOOLEAN, SMALLINT, DATE

### File Formats

The currently supported file formats are ORC and Parquet. Since Impala does not yet have read support for materialized views, it is required for Hive to write the sketches into regular tables (i.e. not into a materialized view), using the `BINARY` type. Then Impala can be used to read these sketches and calculate the estimate as Hive does.

Limitations:

You can write HLL sketches into a text table, however it may not work as the serialized sketches can contain characters that are special for text format.

## Internal Configuration

The configuration is hard coded and the level of compression is HLL\_4 and the number of buckets in the HLL array is k=12 (2 power of 12).

## Using HLL Sketches

This datasketch algorithm works in two phases.

- First it creates a sketch from the dataset provided for the algorithm.
- As the second step in the process the function provides an estimate from the sketch.

The following example shows how to create a sketch and to create an estimate from the sketch.

Creating a sketch

This query creates a sketch using the `ds_hll_sketch` function from a column `date_string_col` containing the supported data type.

```
Select ds_hll_sketch(date_string_col) from tableName;
```

This query receives a primitive expression and returns a serialized HLL sketch that is not in a readable format.

Estimating the number of distinct values in a column

The following query returns a cardinality estimate (similarly to `ndv()`) for that particular column (`date_string_col`) by using the HLL function `ds_hll_estimate`.

```
Select ds_hll_estimate(ds_hll_sketch(date_string_col)) from tableName;
```

This query receives a primitive expression (column name) and then creates a sketch from the column, and then passes the sketch to the outer function that gives a cardinality estimate.

```
+-----+
| ds_hll_estimate(ds_hll_sketch(date_string_col)) |
+-----+
| 729 |
+-----+
Fetched 1 row(s) in 0.25s
```

The above result is similar to the results received using the `count(distinct col)` or the `ndv()` approach.

```
Select count(distinct date_string_col) from tableName;
+-----+
| count (distinct date_string_col) |
+-----+
| 730 |
+-----+
```

```
Select ndv(distinct date_string_col) from tableName;
+-----+
| ndv (date_string_col) |
+-----+
| 736 |
+-----+
```

### Inserting the derived sketch into a table

You can create a sketch and save it for later use. If you save the sketches to a table or view with the same granularity (e.g. one sketch for each partition in a table) then later you can simply read the sketch and get the estimate almost for free as the real cost here is just the sketch creation.

The following example shows the creation of a sketch for the column (date\_string\_col) from the table “tableName” and saving the created sketch into another table called sketch\_table and later reading the sketch and its estimate from the saved table called sketch\_table.

```
select year, month, ds_hll_estimate(ds_hll_sketch(date_string_col)) from
tableName group by year, month;
```

year	month	ds_hll_estimate(ds_hll_sketch(date_string_col))
2010	5	31
2010	11	30
2010	6	30
2010	2	28
2010	10	31
2009	11	30
2009	7	31
2009	10	31
2010	11	31
2009	7	31
2010	10	31
2010	8	30
2010	5	31
2009	7	30
2010	4	31
2010	12	30
2009	9	31
2009	8	30
2010	6	28
2010	3	31
2010	4	31
2010	2	30
2009	1	31
2009	12	30

Insert the created sketch into another table:

```
insert into sketch_table select year, month, ds_hll_sketch(date_string_col) from tableName group by year, month;
```

Verify the number of rows modified using count function in the table:

```
select count(1) from sketch_table;
```

You can also verify it by getting the estimates from the sketches stored in the table using the following query:

```
select year, month, ds_hll_estimate(sketch_col) from sketch_table;
```

### Combining Sketches

You can combine sketches to allow complex queries to be computed from a few number of simple sketches.

```
select ds_hll_estimate(ds_hll_union(sketch_col)) from sketch_table;
```

### Non-deterministic vs Deterministic Results

Based on the characteristics of a query, DataSketches HLL might return results in a non-deterministic manner. During the cache populating phase it returns exact results but in the approximating phase the algorithm can be sensitive to the order of data it receives. As a result you might see the results differ in consecutive runs but all of them will be within the error range of the algorithm.

Due to the characteristics of the algorithm, and to how it was implemented in Impala, the results might be non-deterministic when the query is executed on one node and the input data set is big enough that the algorithm starts approximating instead of giving exact results.

### Inter-operability between Hive and Impala

From the above examples you will notice that the NDV function is much faster than the Datasketches. But NDV is used only by Impala and not by Hive whereas the Datasketches are implemented in Hive as well as in Impala. In order to maintain the inter-operability between Hive and Impala we recommend to use Datasketches to calculate the estimate. A sketch created by Hive using Datasketches can be fed to Impala to produce an estimate.

## Using KLL Datasketch Algorithms in Impala

You can use the stochastic streaming algorithm (KLL) that uses the percentile/quantile functions to statistically analyze the approximate distribution of comparable data from a very large stream.

Use the `ds_kll_quantiles()` or its inverse functions the Probability Mass Function `ds_kll_PMF()` and the Cumulative Distribution Function `ds_kll_CDF()` to obtain the analysis. Query results produced by this KLL algorithm are approximate but within well defined error bounds.

### Data Types and File Formats

KLL function currently supports only floats. If you sketch an int data the KLL function converts it into float.

You can sketch data from any file formats. However it is recommended to save the sketches into parquet tables. It is not recommended to save the sketches to text format.

### Using KLL Sketches

This datasketch algorithm works in two phases.

- First it creates a sketch from the dataset provided for the algorithm.
- As the second step in the process you can read the estimate from the sketch.

Using this approach you can create granular sketches for a specific dataset (e.g. one sketch per partition) and later use them to provide answers for different quantile queries without reading the actual data as they can also be merged together. Using KLL you can create sketches by Hive and read it with Impala for getting the estimate and vice versa.

Creating a sketch

The following example shows how to create a sketch using the `ds_kll_sketch` function from a column (`float_col`) containing the supported data type. This created sketch is a data structure containing an approximate calculation of some facet of the data and which are compatible regardless of which system reads or writes them.

```
select ds_kll_sketch(float_col) from tableName;
```

where `ds_kll_sketch()` is an aggregate function that receives a float parameter (e.g. a float column of a table) and returns a serialized Apache DataSketches KLL sketch of the input data set wrapped into `STRING` type. Since the serialized data might contain unsupported characters this query may error out. So another approach to this method is to insert the derived sketch into a table or a view and later use for quantile approximations.

Inserting the derived sketch into a table

If you save the sketches to a table or view with the same granularity (e.g. one sketch for each partition in a table) then later you can simply read the sketch for quantile approximation.

```
insert into table_name select ds_kll_sketch(float_col) from tableName;
```

Debugging the created sketch

To troubleshoot the sketch created by the `ds_kll_sketch` function, use `ds_kll_stringify` on the sketch. `ds_kll_stringify()` receives a string that is a serialized Apache DataSketches sketch and returns its stringified format by invoking the related function on the sketch's interface.

```
select ds_kll_stringify(ds_kll_sketch(float_col)) from tableName;
```

```
+-----+
| ds_kll_stringify(ds_kll_sketch(float_col)) |
+-----+
| ### KLL sketch summary:                    |
|      K                                     : 200 |
|    min K                                   : 200 |
|      M                                     : 8   |
|      N                                     : 100 |
|    Epsilon                               : 1.33% |
|    Epsilon PMF                           : 1.65% |
|    Empty                                  : false |
|    Estimation mode                        : false |
|    Levels                                 : 1     |
|    Sorted                                : false |
|    Capacity items                        : 200    |
|    Retained items                        : 100    |
|    Storage bytes                         : 432    |
|    Min value                             : 0      |
|    Max value                             : 9      |
|    ### End sketch summary                  |
+-----+
```

Determining the number of datasets sketched

To determine the number of records sketched into this sketch, use `ds_kll_n` function.

```
select ds_kll_n(ds_kll_sketch(float_col)) from tableName;
```

```
+-----+
| ds_kll_n(ds_kll_sketch(float_col)) |
+-----+
| 100                                |
+-----+
```

```
+-----+
```

### Calculate Quantile

This function `ds_kll_quantile()` function receives two parameters, a `STRING` parameter that contains a serialized KLL sketch and a `DOUBLE` (0.5) that represents the rank of the quantile in the range of [0,1]. E.g. rank=0.5 means the approximate median of all the sketched data.

```
+-----+
| ds_kll_quantile(ds_kll_sketch(float_col), 0.5) |
+-----+
| 4.0 |
+-----+
```

This query returns a data (4.0) that is bigger than the 50% of the data.

### Calculating quantiles

To calculate the quantiles for multiple rank parameters, use the function `ds_kll_quantiles_as_string()` that is very similar to `ds_kll_quantile()` but this function receives any number of rank parameters and returns a comma separated string that holds the results for all of the given ranks.

```
select ds_kll_quantiles_as_string(ds_kll_sketch(float_col), 0.5, 0.6, 1)
from tableName;
```

```
+-----+
| ds_kll_quantiles_as_string(ds_kll_sketch(float_col), 0.5, 0.6, 1) |
+-----+
| 4, 5, 9 |
+-----+
```

### Calculate Rank

This rank function `ds_kll_rank()` receives two parameters, a `STRING` that represents a serialized DataSketches KLL sketch and a float to provide a probing value in the sketch.

```
select ds_kll_rank(ds_kll_sketch(float_col), 4) from tableName;
```

```
+-----+
| ds_kll_rank(ds_kll_sketch(float_col), 4) |
+-----+
| 0.48 |
+-----+
```

This query returns a `DOUBLE` that is the rank of the given probing value in the range of [0,1]. E.g. a return value of 0.48 means that the probing value given as parameter is greater than 48% of all the values in the sketch.



**Note:** This is only an approximate calculation.

### Calculate Probability Mass Function (PMF)

This Probabilistic Mass Function (PMF) `ds_kll_pmf_as_string()` receives a serialized KLL sketch and one or more float values to represent ranges in the sketched values. In the following example, the float values [1, 3, 4, 8] represent the following ranges:  $(-\infty, 1)$ ,  $[1, 3)$ ,  $[3, 4)$ ,  $[4, 8)$ ,  $[8, +\infty)$



**Note:** The input values for the ranges have to be unique and monotonically increasing.

```
select ds_kll_pmf_as_string(ds_kll_sketch(float_col), 1, 3, 4, 8) from table
Name
```

```
+-----+
| ds_kll_pmf_as_string(ds_kll_sketch(float_col), 1, 3, 4, 8) |
+-----+
| 0.12, 0.24, 0.12, 0.36, 0.16 |
+-----+
```

This query returns a comma separated string where each value in the string is a number in the range of [0,1] and shows what percentage of the data is in the particular ranges.

### Calculate Cumulative Distribution Function (CDF)

This Cumulative Distribution Function (CDF) `ds_kll_cdf_as_string()` receives a serialized KLL sketch and one or more float values to represent ranges in the sketched values. In the following example, the float values [1, 3, 4, 8] represents the following ranges:  $(-\infty, 1)$ ,  $(-\infty, 3)$ ,  $(-\infty, 4)$ ,  $(-\infty, 8)$ ,  $(-\infty, +\infty)$



**Note:** The input values for the ranges have to be unique and monotonically increasing.

```
select ds_kll_cdf_as_string(ds_kll_sketch(float_col), 1, 3, 4, 8) from table
Name;
```

```
+-----+
| ds_kll_cdf_as_string(ds_kll_sketch(float_col), 1, 3, 4, 8) |
+-----+
| 0.12, 0.36, 0.48, 0.84, 1 |
+-----+
```

This query returns a comma separated string where each value in the string is a number in the range of [0,1] and shows what percentage of the data is in the particular ranges.



### Calculate the Union

To take advantage of the UNION function, create granular sketches for a specific dataset (one sketch per partition), write these sketches to a separate table and based on the partition you are interested in, you can UNION the relevant sketches together to get an estimate.

```
insert into sketch_tbl select ds_kll_sketch(float_col) from tableName;

select ds_kll_quantile(ds_kll_union(sketch_col), 0.5) from sketch_tbl
where partition_col=1 OR partition_col=5;
```

This function `ds_kll_union()` receives a set of serialized Apache DataSketches KLL sketches produced by `ds_kll_sketch()` and merges them into a single sketch.

## Managing Metadata in Impala

This section describes various knobs you can use to control how Impala manages its metadata in order to improve performance and scalability.

### On-demand Metadata

With the on-demand metadata feature, the Impala coordinators pull metadata as needed from catalogd and cache it locally. The cached metadata gets evicted automatically under memory pressure.

The granularity of on-demand metadata fetches is at the partition level between the coordinator and catalogd. Common use cases like add/drop partitions do not trigger unnecessary serialization/deserialization of large metadata.

The feature can be used in either of the following modes.

#### Metadata on-demand mode

In this mode, all coordinators use the metadata on-demand.

Set the following on catalogd:

```
--catalog_topic_mode=minimal
```

Set the following on all impalad coordinators:

```
--use_local_catalog=true
```

#### Mixed mode

In this mode, only some coordinators are enabled to use the metadata on-demand.

We recommend that you use the mixed mode only for testing local catalog's impact on heap usage.

Set the following on catalogd:

```
--catalog_topic_mode=mixed
```

Set the following on impalad coordinators with metdadata on-demand:

```
--use_local_catalog=true
```

#### Flags related to use\_local\_catalog

When `use_local_catalog` is enabled or set to `True` on the `impalad` coordinators the following list of flags configure various parameters as described below. It is not recommended to change the default values on these flags.

- The flag `local_catalog_cache_mb` (defaults to -1) configures the size of the catalog cache within each coordinator. With the default set to -1, the cache is auto-configured to 60% of the configured Java heap size. Note that the Java heap size is distinct from and typically smaller than the overall Impala memory limit.
- The flag `local_catalog_cache_expiration_s` (defaults to 3600) configures the expiration time of the catalog cache within each `impalad`. Even if the configured cache capacity has not been reached, items are removed from the cache if they have not been accessed in the defined amount of time.
- The flag `local_catalog_max_fetch_retries` (defaults to 40) configures the maximum number of retries needed for queries to fetch a metadata object from the `impalad` coordinator's local catalog cache.

Limitation:

HDFS caching is not supported in On-demand metadata mode coordinators.



**Note:**

In Impala 3.4.0 and above, global `INVALIDATE METADATA` statement is supported when On-demand feature is enabled.

`INVALIDATE METADATA` Usage Notes:

To return accurate query results, Impala needs to keep the metadata current for the databases and tables queried. Through "automatic invalidation" or "HMS event polling" support, Impala automatically picks up most changes in metadata from the underlying systems. However there are some scenarios where you might need to run `INVALIDATE METADATA` or `REFRESH`.

- if some other entity modifies information used by Impala in the metastore, the information cached by Impala must be updated via `INVALIDATE METADATA` or `REFRESH`,
- if you have "local catalog" enabled without "HMS event polling" and need to pick up metadata changes that were done outside of Impala in Hive and other Hive client, such as SparkSQL,
- and so on.



**Note:** As this is a very expensive operation compared to the incremental metadata update done by the `REFRESH` statement, when possible, prefer `REFRESH` rather than `INVALIDATE METADATA`.

### Related Information

[Invalidate Metadata Statement](#)

## Automatic Invalidation of Metadata Cache

To keep the size of metadata bounded, the Impala Catalog Server periodically scans all the tables and invalidates those not recently used.

There are two types of configurations in Catalog Server that control the automatic invalidation of metadata in the Catalog Server Command Line Argument Advanced Configuration Snippet (Safety Valve) field in Cloudera Manager.

### Time-based cache invalidation

Catalogd invalidates tables that are not recently used in the specified time period (in seconds).

The `##invalidate_tables_timeout_s` flag needs to be applied to both `impalad` and `catalogd`.

### Memory-based cache invalidation

When the memory pressure reaches 60% of JVM heap size after a Java garbage collection in `catalogd`, Impala invalidates 10% of the least recently used tables.

The `##invalidate_tables_on_memory_pressure` flag needs to be applied to both `impalad` and `catalogd`.

Automatic invalidation of metadata provides more stability with lower chances of running out of memory, but the feature could potentially cause performance issues and may require tuning.

## Automatic Invalidation/Refresh of Metadata

In this release, you can invalidate or refresh metadata automatically after changes to databases, tables or partitions render metadata stale. You control the synching of tables or database metadata by basing the process on events. You learn how to access metrics and state information about the event processor.

When tools such as Hive and Spark are used to process the raw data ingested into Hive tables, new HMS metadata (database, tables, partitions) and filesystem metadata (new files in existing partitions/tables) are generated. In previous versions of Impala, in order to pick up this new information, Impala users needed to manually issue an `INVALIDATE` or `REFRESH` commands.

When automatic invalidate/refresh of metadata is enabled, the Catalog Server polls Hive Metastore (HMS) notification events at a configurable interval and automatically applies the changes to Impala catalog.

Impala Catalog Server polls and processes the following changes.

- Refreshes the tables when it receives the `ALTER TABLE` event.
- Refreshes the partition when it receives the `ALTER`, `ADD`, or `DROP` partitions.
- Adds the tables or databases when it receives the `CREATE TABLE` or `CREATE DATABASE` events.
- Removes the tables from catalogd when it receives the `DROP TABLE` or `DROP DATABASE` events.
- Refreshes the table and partitions when it receives the `INSERT` events.

If the table is not loaded at the time of processing the `INSERT` event, the event processor does not need to refresh the table and skips it.

- Changes the database and updates catalogd when it receives the `ALTER DATABASE` events. The following changes are supported. This event does not invalidate the tables in the database.
  - Change the database properties
  - Change the comment on the database
  - Change the owner of the database
  - Change the default location of the database

Changing the default location of the database does not move the tables of that database to the new location. Only the new tables which are created subsequently use the default location of the database in case it is not provided in the create table statement.

This feature is controlled by the `##hms_event_polling_interval_s` flag. Start the `catalogd` with the `##hms_event_polling_interval_s` flag set to a positive integer to enable the feature and set the polling frequency in seconds. We recommend the value to be less than 5 seconds.

### Limitations

The following use cases are not supported:

- When you bypass HMS and add or remove data into table by adding files directly on the filesystem, HMS does not generate the `INSERT` event, and the event processor will not invalidate the corresponding table or refresh the corresponding partition.

It is recommended that you use the `LOAD DATA` command to do the data load in such cases, so that event processor can act on the events generated by the `LOAD` command.

- The Spark API that saves data to a specified location does not generate events in HMS, thus is not supported. For example:

```
Seq((1, 2)).toDF("i", "j").write.save("/user/hive/warehouse/spark_etl.db/customers/date=01012019")
```

- Event processing could have delays due to the polling interval and auto-refresh on large tables also takes time. If you want the metadata to be synced up immediately, manual REFRESH/INVALIDATE is a better choice and has a better guarantee.

### Disable Event Based Automatic Metadata Sync

When the `##hms_event_polling_interval_s` flag is set to a non-zero value for your catalogd, the event-based automatic invalidation is enabled for all databases and tables. If you wish to have the fine-grained control on which tables or databases need to be synced using events, you can use the `impala.disableHmsSync` property to disable the event processing at the table or database level.

This feature can be turned off by setting the `##hms_event_polling_interval_s` flag set to 0.

When you add the DBPROPERTIES or TBLPROPERTIES with the `impala.disableHmsSync` key, the HMS event based sync is turned on or off. The value of the `impala.disableHmsSync` property determines if the event processing needs to be disabled for a particular table or database.

- If `impala.disableHmsSync='true'`, the events for that table or database are ignored and not synced with HMS.
- If `impala.disableHmsSync='false'` or if `impala.disableHmsSync` is not set, the automatic sync with HMS is enabled if the `##hms_event_polling_interval_s` global flag is set to non-zero.
- To disable the event based HMS sync for a new database, set the `impala.disableHmsSync` database properties in Hive as currently, Impala does not support setting database properties:

```
CREATE DATABASE <name> WITH DBPROPERTIES ('impala.disableHmsSync'='true');
```

- To enable or disable the event based HMS sync for a table:

```
CREATE TABLE <name> ... TBLPROPERTIES ('impala.disableHmsSync'='true' | 'false');
```

- To change the event based HMS sync at the table level:

```
ALTER TABLE <name> SET TBLPROPERTIES ('impala.disableHmsSync'='true' | 'false');
```

When both table and database level properties are set, the table level property takes precedence. If the table level property is not set, then the database level property is used to evaluate if the event needs to be processed or not.

If the property is changed from true (meaning events are skipped) to false (meaning events are not skipped), you need to issue a manual `INVALIDATE METADATA` command to reset event processor because it doesn't know how many events have been skipped in the past and cannot know if the object in the event is the latest. In such a case, the status of the event processor changes to `NEEDS_INVALIDATE`.

### Metrics for Event Based Automatic Metadata Sync

You can use the web UI of the catalogd to check the state of the automatic invalidate event processor.

By default, the debug web UI of catalogd is at `http://impala-server-hostname:25020` (non-secure cluster) or `http://impala-server-hostname:25020` (secure cluster).

Under the web UI, there are two pages that presents the metrics for HMS event processor that is responsible for the event based automatic metadata sync.

- `/metrics#events`

- `/events`

This provides a detailed view of the metrics of the event processor, including min, max, mean, median, of the durations and rate metrics for all the counters listed on the `/metrics#events` page.

The `/metrics#events` page provides the following metrics about the HMS event processor.

Name	Description
<code>events-processor.avg-events-fetch-duration</code>	Average duration to fetch a batch of events and process it.
<code>events-processor.avg-events-process-duration</code>	Average time taken to process a batch of events received from the Metastore.
<code>events-processor.events-received</code>	Total number of the Metastore events received.
<code>events-processor.events-received-15min-rate</code>	Exponentially weighted moving average (EWMA) of number of events received in last 15 min. This rate of events can be used to determine if there are spikes in event processor activity during certain hours of the day.
<code>events-processor.events-received-1min-rate</code>	Exponentially weighted moving average (EWMA) of number of events received in last 1 min. This rate of events can be used to determine if there are spikes in event processor activity during certain hours of the day.
<code>events-processor.events-received-5min-rate</code>	Exponentially weighted moving average (EWMA) of number of events received in last 5 min. This rate of events can be used to determine if there are spikes in event processor activity during certain hours of the day.
<code>events-processor.events-skipped</code>	Total number of the Metastore events skipped.  Events can be skipped based on certain flags are table and database level. You can use this metric to make decisions, such as: <ul style="list-style-type: none"> <li>• If most of the events are being skipped, see if you might just turn off the event processing.</li> <li>• If most of the events are not skipped, see if you need to add flags on certain databases.</li> </ul>
<code>events-processor.status</code>	Metastore event processor status to see if there are events being received or not. Possible states are: <ul style="list-style-type: none"> <li>• <b>PAUSED</b> The event processor is paused because catalog is being reset concurrently.</li> <li>• <b>ACTIVE</b> The event processor is scheduled at a given frequency.</li> <li>• <b>ERROR</b> The event processor is in error state and event processing has stopped.</li> <li>• <b>NEEDS_INVALIDATE</b> The event processor could not resolve certain events and needs a manual <b>INVALIDATE</b> command to reset the state.</li> <li>• <b>STOPPED</b> The event processing has been shutdown. No events will be processed.</li> <li>• <b>DISABLED</b> The event processor is not configured to run.</li> </ul>

## Synchronization between Impala Clusters

Describes the event that notifies other Impala clusters about the changes of LOAD data statement.

When a LOAD statement is run from an Impala in a cluster, an INSERT event is generated. This INSERT event generated notifies other Impala clusters or any other systems that consume HMS events (e.g. Hive Replication) about the changes of LOAD DATA. The other Impala clusters will refresh the table or partitions based on the event. This allows the metadata to be always consistent locally and remotely. However, there can be delays till the event is processed but eventually, it will be consistent. Also, an auto-refresh on large tables takes time. If you want

the metadata to be synced up immediately, manual REFRESH/INVALIDATE is a better choice and has a better guarantee.

When the LOAD DATA statement operates on a partitioned table, it always operates on one partition at a time. So for unpartitioned tables, the granularity of the refresh is the whole table. For partitioned tables, only the partition will be reloaded.